

08-13-01

DOCKET NO.: 19603/3461 (CRF D-2659A) A

EXPRESS MAIL NO.: EL709323427US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM

(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, **29 pages**) of:

Inventor(s): **Gary E. Harman**

For : **PROMOTING DEEPER ROOT DEVELOPMENT, REDUCING NITROGEN FERTILIZER USAGE, IMPARTING DROUGHT RESISTANCE, AND INCREASING TOLERANCE TO ADVERSE SOIL CONDITIONS IN PLANTS**

***If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)
of prior application Serial No. _____

Prior application information: Examiner :
Art Unit :

Enclosed are:

- ☒ **11 sheets** of formal drawings.
- ☐ **Signed** Combined Declaration and Power of Attorney (____ pages).
- ☐ **Copy** of **signed** Combined Declaration and Power of Attorney (____ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (____ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (____ pages) of the invention to _____.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☒ Applicant claims small entity status. (See 37 CFR 1.27.)



09927966-081001

- ☐ Preliminary Amendment (____ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (____ pages) and ____ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (2 pages).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

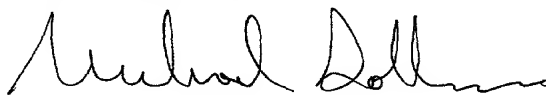
The Filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$355	OR	XXXX	\$710
TOTAL CLAIMS	19 - 20 =	0	x 9 =	\$ 0	OR	x 18 =	\$
INDEP CLAIMS	4 - 3 =	1	x 40 =	\$ 40	OR	X80 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED							
*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2							
			TOTAL	\$395	OR	TOTAL	\$

- ☒ A check in the amount of **\$395.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

Michael L. Goldman, Esq.
NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603-1051

Date: August 10, 2001



Michael L. Goldman
Registration No. 30,727

NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603-1051
Telephone: (716) 263-1304
Facsimile: (716) 263-1600

EXPRESS MAIL CERTIFICATE

DOCKET NO. : 19603/3461 (CRF D-2659A)
APPLICANT : Gary E. Harman
TITLE : PROMOTING DEEPER ROOT DEVELOPMENT, REDUCING
NITROGEN FERTILIZER USAGE, IMPARTING DROUGHT
RESISTANCE, AND INCREASING TOLERANCE TO
ADVERSE SOIL CONDITIONS IN PLANTS

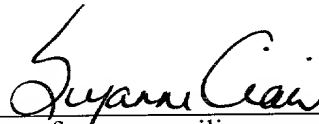
Certificate is attached to the **Utility Patent Application Transmittal Form**
(2 pages) of the above-named application.

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Suzanne Ciaio

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paper or fee)



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ADVERSE SOIL CONDITIONS IN PLANTS

Certificate is attached to the **Formal Drawings (11 pages)** of the
above-named application.

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Certificate is attached to the **Patent Application Including Specification, Claims, and Abstract (29 pages) and Unsigned Combined Declaration and Power of Attorney (2 pages)** of the above-named application.

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